

FORM PTO-1390 (Modified) (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 13929/123411
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/787831
INTERNATIONAL APPLICATION NO. PCT/EP99/07038	INTERNATIONAL FILING DATE September 22, 1999	PRIORITY DATE CLAIMED September 22, 1998		
TITLE OF INVENTION POLYMERS OF A HYDROPHOBIC NATURE, FILLED WITH STARCH COMPLEXES				
J607 Rm 8 PCT/EP99/07038 22 MAR 2001				
APPLICANT(S) FOR DO/EO/US Catia Bastioli, Vittorio Bellotti, and Alessandro Montino				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</p> <p>8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (Unexecuted)</p> <p>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</p> <p>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p>				
<p>Items 13 to 20 below concern document(s) or information included:</p> <p>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>15. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>17. <input type="checkbox"/> A substitute specification.</p> <p>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</p> <p>20. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> 1) Transmittal letter; 2) One check totalling \$661.00 to cover the filing fee for patent appln. 3) Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308 (July 1996)); 4) Information Concerning Elected Offices Notified of Their Election (Form PCT/IB/332 (September 1997)); 5) Notification of Transmittal Of the International Preliminary Examination Report (Form PCT/IPEA416 (July 1992)); and 6) Return postcard </p> <p>21) Applicants claim small entity status under 37 CFR Section 1.9 and 37 CFR Section 1.27.</p>				

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21. The following fees are submitted:		CALCULATIONS PTO USE ONLY		
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :				
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but Internation Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00				
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$860.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).		<input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 \$130.00		
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE
Total claims	24	- 20 =	4	x \$18.00 \$72.00
Independent claims	1	- 3 =	0	x \$80.00 \$0.00
Multiple Dependent Claims (check if applicable).				<input type="checkbox"/> \$0.00
TOTAL OF ABOVE CALCULATIONS =		\$1,062.00		
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).		<input checked="" type="checkbox"/> \$531.00		
		SUBTOTAL = \$531.00		
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).		<input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 + \$130.00		
		TOTAL NATIONAL FEE = \$661.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		<input type="checkbox"/> \$0.00		
		TOTAL FEES ENCLOSED = \$661.00		
		Amount to be: refunded		\$
		charged		\$
<p><input checked="" type="checkbox"/> A check in the amount of \$661.00 to cover the above fees is enclosed.</p> <p><input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 02-4467 A duplicate copy of this sheet is enclosed.</p>				
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>				
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Maurice B. Stiefel, Esq. BRYAN CAVE LLP 245 Park Avenue New York, NY 10167 Tel. No. (212) 692-1838 Fax No. (212) 692-1900</p> <p> SIGNATURE</p> <p>Warren K. MacRae</p> <p>NAME _____</p> <p>37,876</p> <p>REGISTRATION NUMBER _____</p> <p>March 21, 2001</p> <p>DATE _____</p>				

09/787831

JC08 Rec'd PCT/PTO 22 MAR 2001

Docket No.: 13929/123411

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Application of :)
Catia Bastioli, Vittorio Bellotti and Alessandro)
Montino)
International Appln. No. PCT/EP99/07038)
International Filing Dated: 22 September 1999
Filed Concurrently Herewith On March 22, 2001

For: **POLYMERS OF A HYDROPHOBIC
NATURE, FILLED WITH STARCH
COMPLEXES**

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: EL715972885US
Date of Deposit: March 22, 2001

I hereby certify that the following:

- This Certificate of Express Mailing
- Transmittal Letter (Form PTO-1390) in duplicate (2 pp.)
- Published International Application (23 pp.)
- Unexecuted Declaration and Power of Attorney (Form PTO/SB/01) (4 pp.)
- International Search Report (5 pp.)
- Preliminary Amendment (8 pp.)
- Check in the amount of \$661.00 to cover filing fee
- PCT Request (4 pp.)
- PCT Demand (4 pp.)
- Information Concerning Elected Offices Notified Of Their Election (1 p.)
- Notice Informing The Applicant Of The Communication Of The Internat'l Appln. (2 pp.)
- International Preliminary Examination Report (Form PCT/IPEA/409) (10 pp.)
- Information Disclosure Statement (2 pp.)
- PTO-1449 Form to Information Disclosure Statement including references
- Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee"
service under 37 CFR Section 1.10 on the Date of Deposit indicated above in an envelope addressed to
the Commissioner for Patents, Box PCT, Washington, D.C. 20231.

Gregory Small

BRYAN CAVE LLP
245 Park Avenue
New York, New York 10167-0034
(212) 692-1800